



AIM IHT

**Managed Stockbroking
Service Portfolio**

Application Form

Client Name (s): _____

I / We apply to invest £ _____ into a Balkerne Asset Management AIM IHT MSS Portfolio (Min £50,000)

AIM IHT MSS Portfolio

The AIM IHT MSS Portfolio (Higher Risk Profile)
(This service will include up to 100% investment in UK AIM Qualifying Investments*)

INCOME REQUIREMENTS

Please indicate whether you wish to take an income from your investment

- REINVEST (No income to be distributed)
- DISTRIBUTE (Please complete the section below)

DISTRIBUTION OF INCOME

Please indicate the frequency and amount of income you wish to have paid out from your investment portfolio

Quarterly Options

- Variable Quarterly Dividend Payment
- Fixed Quarterly Income Payment (specify amount below)
- Amount of Fixed Quarterly Income Payment £ _____

Please specify when you would like the Quarterly Income Payments to start from

- 18 January 18 April 18 July 18 October

Monthly Option

- Fixed Monthly Income Payment (specify amount below)
- Amount of Fixed Monthly Income Payment £ _____

Please indicate when you would like the Monthly Income Payments to start from

- 18th of _____ (month)

If income has been requested, it will be sent directly to your Bank or Building Society account by BACS (Bankers Automated Clearing System).

* The Balkerne Asset Management AIM IHT MSS will invest in qualifying investments which are those that benefit from Business Property Relief (or Business Relief (BPR)) at the time of purchase. We cannot, however, provide any guarantees or assurances that such investments are, or will remain, as qualifying investments.

Bank / Building Society Details

Name and Address of Bank or Building Society (Incl. Postcode)

Account Sort Code

Account Number

Account Name

Building Society Roll / Ref Number

Please be Aware

The value of investments can go down as well as up and, as a consequence, the value of your portfolio at any given point in time cannot be guaranteed. Upon withdrawal or transfer of your portfolio you may not realise the sum originally invested. Past performance cannot be relied on and is not necessarily a guide to future returns.

Before investing in the Balkerne Asset Management AIM IHT MSS, we recommend that you read the Key Features, Terms & Conditions and Schedule of Charges and Costs of our Services pertinent to this service as they provide details of the terms, risks and costs under which the investment(s) will be managed. In the event that you do not understand any aspect of these documents you should ask for further clarification.

CLIENT DECLARATION:

I / We confirm that the details contained in this application form are accurate and true and are in accordance with my / our investment objectives and attitude to investment risk as discussed with my / our financial adviser. I / We further confirm that I / we have been given sufficient time and opportunity to read and consider the AIM IHT Managed Stockbroking Service Key Features and Terms & Conditions and the Schedule of Charges and Costs of our Services and both appreciate and agree to the terms, risks and costs under which the investment(s) will be managed.

APPLICANT 1

APPLICANT 2

Signature

Date

Print Full Name

FINANCIAL ADVISER REMUNERATION AGREEMENT

I / We confirm that I / We have received advice relating to this investment and hereby request Balkerne Asset Management to pay my adviser charge directly to my Financial Adviser as detailed below.

This arrangement is to remain in place until I / We give my instructions in writing to Balkerne Asset Management that this arrangement should be cancelled, or I / We cease to be an investor with Balkerne Asset Management.

Amount of Adviser Agreed Remuneration:

1. Initial Adviser Agreed Remuneration of [REDACTED] % of funds invested, which equates to the sum of £ [REDACTED] (Estimated where the transfer of funds / stocks is not specifically known).

PLUS

2. An Annual Portfolio based Ongoing Adviser Charge of [REDACTED] % of funds held, paid in quarterly instalments.

Financial Adviser Details:

Full Address and Postcode of Financial Adviser

Adviser FCA Registered Number

Adviser Telephone Number

Adviser Email

Adviser Signature

Date

Print Adviser Name

Declaration and Client Signatures:

I / We agree and authorise Balkerne Asset Management to pay my / our Financial Adviser the agreed adviser charges as detailed above.

APPLICANT 1

Signature

Date

Print Full Name

APPLICANT 2