



AIM IHT

Stocks & Shares

Individual Savings Account

Transfer Application Form

Client Name:

AIM IHT STOCKS & SHARES INDIVIDUAL SAVINGS ACCOUNT

The AIM IHT Portfolio (Higher Risk Profile)

(this service will include up to 100% investment in UK AIM Qualifying Investments*)

INCOME REQUIREMENTS

Please indicate whether you wish to take an income from your investment

REINVEST (No income to be distributed)

DISTRIBUTE (Please complete the section below)

DISTRIBUTION OF INCOME

Please indicate the frequency and amount of income you wish to have paid out from your investment portfolio

Quarterly Options

Variable Quarterly Dividend Payment

Fixed Quarterly Income Payment (specify amount below)

Amount of Fixed Quarterly Income Payment £

Please specify when you would like the Quarterly Income Payments to start from

18 January 18 April 18 July 18 October

Monthly Option

Fixed Monthly Income Payment (specify amount below)

Amount of Fixed Monthly Income Payment £

Please indicate when you would like the Monthly Income Payments to start from

18th of (month)

If income has been requested it will be sent directly to your Bank or Building Society account by BACS (Bankers Automated Clearing System).

* The Balkerne Asset Management AIM IHT ISA will invest in qualifying investments which are those that benefit from Business Property Relief (or Business Relief (BPR)) at the time of purchase. We cannot, however, provide any guarantees or assurances that such investments are, or will remain, as qualifying investments.

Bank / Building Society Details

Name and Address of Bank or Building Society (Incl. Postcode)

Account Sort Code

Account Number

Account Name

Building Society Roll / Ref Number

Please be Aware

Before investing in the Balkerne Asset Management AIM IHT Stocks & Shares ISA, we recommend that you read the Key Features, Terms & Conditions and Schedule of Charges and Costs of our Services pertinent to this service as they provide details of the terms, risks and costs under which the investment(s) will be managed. In the event that you do not understand any aspect of these documents you should ask for further clarification.

CLIENT DECLARATION:

I confirm that the details contained in this application form are accurate and true and are in accordance with my investment objectives and attitude to investment risk as discussed with my financial adviser. I further confirm that I have been given sufficient time and opportunity to read and consider the AIM IHT Stocks & Shares ISA Key Features, Terms & Conditions and Schedule of Charges and Costs of our Services and appreciate the terms, risks and costs under which the investment(s) will be managed.

I authorise Balkerne Asset Management:

- To hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash
- To make on my behalf any claims to relief from tax in respect of ISA investments.

I declare that this application form has been completed to the best of my knowledge and belief.

Client Signature

Date

Print Full Name

Your existing ISA(s)

Name of Account Manager (1) Account No

Is your current tax year ISA to be transferred? YES NO

If "YES" please note that such transfers must be the whole amount saved in the current tax year in the ISA, up to the day of transfer.

Is a previous tax year ISA to be transferred? YES NO

If "YES" please select one of the following options:

Whole of tax year to be transferred

Part of tax year to be transferred

If "Part of tax year" please specify tax year and amount:

Tax year

Amount £

Tax year

Amount £

Instruction to Existing ISA Account Manager (1)

Name and address of your current ISA Account Manager

Name

Account No

Address
(Incl. Postcode)

Is your current tax year ISA to be transferred? YES NO

If "YES" please note the transfer must be the whole amount saved (right up to the day of transfer)

Is a previous tax year ISA to be transferred? YES NO

If "YES" please select one of the following options:

Whole of tax year to be transferred

Part of tax year to be transferred

If "Part of tax year" please specify tax year and amount:

Tax year

Amount £

Tax year

Amount £

I request you to transfer the above mentioned ISA(s) to Balkerne Asset Management, 1 Balkerne Hill, Colchester, Essex, CO3 3FG. Where applicable, I request you to sell the appropriate investments held in my account, as detailed, before transfer and send a cheque(s) for the proceeds to the above address. Cheques should be made payable to "Balkerne Asset Management Client's Account". If you have any queries with these instructions please contact the Transfer Department (Tel: 0808 200 0808) at Balkerne Asset Management.

Signature

Print Full Name

Date

Your existing ISA(s)

Name of Account Manager (2) Account No

Is your current tax year ISA to be transferred? YES NO

If "YES" please note that such transfers must be the whole amount saved in the current tax year in the ISA, up to the day of transfer.

Is a previous tax year ISA to be transferred? YES NO

If "YES" please select one of the following options:

Whole of tax year to be transferred

Part of tax year to be transferred

If "Part of tax year" please specify tax year and amount:

Tax year

Amount £

Tax year

Amount £

Instruction to Existing ISA Account Manager (2)

Name and address of your current ISA Account Manager

Name

Account No

Address
(Incl. Postcode)

Is your current tax year ISA to be transferred? YES NO

If "YES" please note the transfer must be the whole amount saved (right up to the day of transfer)

Is a previous tax year ISA to be transferred? YES NO

If "YES" please select one of the following options:

Whole of tax year to be transferred

Part of tax year to be transferred

If "Part of tax year" please specify tax year and amount:

Tax year

Amount £

Tax year

Amount £

I request you to transfer the above mentioned ISA(s) to Balkerne Asset Management, 1 Balkerne Hill, Colchester, Essex, CO3 3FG. Where applicable, I request you to sell the appropriate investments held in my account, as detailed, before transfer and send a cheque(s) for the proceeds to the above address. Cheques should be made payable to "Balkerne Asset Management Client's Account". If you have any queries with these instructions please contact the Transfer Department (Tel: 0808 200 0808) at Balkerne Asset Management.

Signature

Print Full Name

Date

FINANCIAL ADVISER REMUNERATION AGREEMENT

I confirm that I have received advice relating to this investment and hereby request Balkerne Asset Management to pay my adviser charge directly to my Financial Adviser as detailed below.

This arrangement is to remain in place until I give my instructions in writing to Balkerne Asset Management that this arrangement should be cancelled or I cease to be an investor with Balkerne Asset Management.

Amount of Adviser Agreed Remuneration:

1. Initial Adviser Agreed Remuneration of [REDACTED] % of funds invested, which equates to the sum of £ [REDACTED] (Estimated where the transfer of funds / stocks is not specifically known).

PLUS

2. An Annual Portfolio based Ongoing Adviser Charge of [REDACTED] % of funds held, paid in quarterly instalments.

Financial Adviser Details:

Full Address and Postcode of Financial Adviser

Adviser FCA Registered Number

Adviser Telephone Number

Adviser Email

Adviser Signature

Date

Print Adviser Name

Declaration and Client Signatures:

I agree and authorise Balkerne Asset Management to pay my Financial Adviser the agreed adviser charges as detailed above.

APPLICANT

Signature

Date

Print Full Name