



Managed Stockbroking Service

Application Form

MSS Portfolio to be held in the name(s):

I / We apply to invest £

MANAGED STOCKBROKING SERVICES (MSS)

- The INCOME Portfolio (Lower Risk Profile)**
(this service may include up to 15% investment in UK & International Equities)
- The UK MIXED Portfolio (Lower than Medium Risk Profile)**
(this service may include up to 85% investment in UK & International Equities)
- The UK STOCKMARKET Portfolio (Medium Risk Profile)**
(this service may include up to 100% investment in UK & International Equities)
- The UK & DIVERSIFIED Portfolio (Medium Risk Profile)**
(this service may include up to 100% investment in UK & International Equities)
- The UK & INTERNATIONAL Portfolio (Higher than Medium Risk profile)**
(this service may include up to 100% investment in UK & International Equities)

INCOME REQUIREMENTS

Please indicate whether you wish to take an income from your investment

- REINVEST (No income to be distributed)
- DISTRIBUTE (Please complete the section below)

DISTRIBUTION OF INCOME

Please indicate the frequency and amount of income you wish to have paid out from your investment portfolio

Quarterly Options

- Variable Quarterly Dividend Payment
- Fixed Quarterly Income Payment (Specify Amount)
- Amount of Fixed Quarterly Income Payment £

Please specify when you would like the Quarterly Income Payments to start from

- 18 January 18 April 18 July 18 October

Monthly Option

- Fixed Monthly Income Payment (Specify Amount)
- Amount of Fixed Monthly Income Payment £

Please indicate when you would like the Monthly Income Payments to start from

18th of (month)

If income has been requested it will be sent directly to your Bank or Building Society account by BACS (Bankers Automated Clearing System).

Bank / Building Society Details

Name and Address of Bank or Building Society (Incl. Postcode)

Account Sort Code

Account Number

Account Name

Building Society Roll / Ref Number

ACCEPTANCE OF TERMS AND CONDITIONS

I / We acknowledge receipt of the Balkerne Asset Management MSS Terms & Conditions and MSS Key Features Document and confirm that I / We have been given sufficient time and opportunity to read and consider them. I / We further confirm that the details contained in this application form are accurate and true and are in accordance with my / our investment objectives and attitude to investment risk as discussed with my / our financial adviser.

If you do not understand anything in the Balkerne Asset Management MSS Terms & Conditions, MSS Key Features Document or the DPM application form, you should NOT sign the declaration below but seek additional clarification and guidance from your Financial Adviser before proceeding.

CLIENT DECLARATION

I / We confirm and agree with the above Acceptance of Terms and Conditions.

APPLICANT 1

APPLICANT 2

Signature

Date

Print Full Name

FINANCIAL ADVISER REMUNERATION AGREEMENT

As the financial adviser of the client(s) I request the following initial commission which has been agreed in respect of this investment:

£ or % of funds invested

Plus an annual portfolio based trail commission of:

% of funds held

Full Address and Postcode of
Adviser Firm

Adviser FSA Registered Number

Adviser Telephone Number

Adviser Email

Adviser Signature

Date

Print Adviser Name

I / We agree and authorise Balkerne Asset Management to pay my / our financial adviser the agreed commission as detailed above.

APPLICANT 1

Signature

Date

Print Full Name

APPLICANT 2