



Individual Savings Account

Application Form

Client Name:

I apply to subscribe £ for the tax year ending 5 April 2012 and each subsequent year until further notice.

INDIVIDUAL SAVINGS ACCOUNT (ISA)

- The INCOME ISA (Lower Risk Profile)**
(this service may include up to 15% investment in UK & International Equities)
- The UK MIXED ISA (Lower than Medium Risk Profile)**
(this service may include up to 85% investment in UK & International Equities)
- The UK STOCKMARKET ISA (Medium Risk Profile)**
(this service may include up to 100% investment in UK & International Equities)
- The UK & DIVERSIFIED ISA (Medium Risk Profile)**
(this service may include up to 100% investment in UK & International Equities)
- The UK & INTERNATIONAL ISA (Higher than Medium Risk profile)**
(this service may include up to 100% investment in UK & International Equities)

INCOME REQUIREMENTS

Please indicate whether you wish to take an income from your investment

- REINVEST (No income to be distributed)
- DISTRIBUTE (Please complete the section below)

DISTRIBUTION OF INCOME

Please indicate the frequency and amount of income you wish to have paid out from your investment portfolio

Quarterly Options

- Variable Quarterly Dividend Payment
- Fixed Quarterly Income Payment (Specify Amount)
- Amount of Fixed Quarterly Income Payment £

Please specify when you would like the Quarterly Income Payments to start from

- 18 January 18 April 18 July 18 October

Monthly Option

- Fixed Monthly Income Payment (Specify Amount)
- Amount of Fixed Monthly Income Payment £

Please indicate when you would like the Monthly Income Payments to start from

18th of (month)

If income has been requested it will be sent directly to your Bank or Building Society account by BACS (Bankers Automated Clearing System).

Bank / Building Society Details

Name and Address of Bank or Building Society (Incl. Postcode)

Account Sort Code

Account Number

Account Name

Building Society Roll / Ref Number

Declaration and Signature

I declare that:

- I have read and understood the Key Features Document and the Terms & Conditions for the Balkerne Asset Management Stocks & Shares ISA and agree that my ISA will be subject to these terms.
- All subscriptions made, and to be made, belong to me;
- I am 18 years of age or over;
- I have not subscribed and will not subscribe more than £10,680 in total to a Cash ISA and a Stocks & Shares ISA in the same tax year;
- I have not subscribed and will not subscribe to another Stocks & Shares ISA in the same tax year that I subscribe to this Stocks & Shares ISA, and
- I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Balkerne Asset Management if I cease to be so resident and ordinarily resident or to perform such duties or be married to, or in civil partnership with, a person who performs such duties.

I authorise Balkerne Asset Management:

- To hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash
- To make on my behalf any claims to relief from tax in respect of ISA investments.

I agree to the ISA terms and conditions.

I declare that this application form has been completed to the best of my knowledge and belief.

Client Signature

Date

Print Full Name

FINANCIAL ADVISER REMUNERATION AGREEMENT

As the financial adviser of the client I request the following initial commission which has been agreed in respect of this investment:

£ [redacted] or [redacted] % of funds invested

Plus an annual portfolio based trail commission of:

[redacted] % of funds held

Full Address and Postcode of
Adviser Firm

Adviser FSA Registered Number

Adviser Telephone Number

Adviser Email

Adviser Signature

Date

Print Adviser Name

I agree and authorise Balkerne Asset Management to pay my financial adviser the agreed commission as detailed above.

Client Signature

Date

Print Full Name